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Express Mail No.: EL 501 638 985 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bermudes et al.

Application No.: 09/645,415

Group Art Unit: 1614

Filed: August 24, 2000

Examiner: To be assigned

For: COMPOSITIONS AND METHODS FOR  
TUMOR-TARGETED DELIVERY OF  
EFFECTOR MOLECULES

Attorney Docket No.: 8002-059

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56 AND 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to the references AA-EZ listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." Copies of references AA-EZ are submitted herewith.

Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited".

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b), since it is believed that this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine

otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account no. 16-1150. A duplicate copy is enclosed for accounting purposes.

Respectfully submitted,

Date August 24, 2001

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Enclosures

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<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO. 8002-059-999	APPLICATION NO. 09/645,415
	APPLICANT Bermudes et al.	
	FILING DATE August 24, 2000	GROUP 1614
	U.S. PATENT DOCUMENTS	

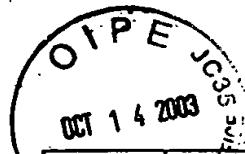
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,436,727	3/13/84	Ribi			
	AB	5,021,234	6/4/91	Ehrenfeld			
	AC	5,344,762	9/6/94	Karapetian			
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	EM	09/645,418		Bermudes et al.			8/24/00
	ES	5,877,159	3/2/99	Powell et al.			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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	AG	WO 9211361	7/9/92	PCT				
	AH	WO 9502048	1/19/95	PCT				
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	AK	WO 9718837	5/29/97	PCT				
	AL	WO 9719688	6/5/97	PCT				
	AM	WO 9725061	7/17/97	PCT				
	AN	WO 9833923	8/16/98	PCT				
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	EO	WO 9718225	5/22/97	PCT				
	EP	WO 9853854	12/3/98	PCT				
	EQ	WO 9913003	3/18/99	PCT				
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	CF	Khan et al., 1998, "A lethal role for lipid A in <i>Salmonella</i> Infections", <i>Mol. Microbiol.</i> 29(2):571-579
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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Serial No. 09/7645 415 PL Filed August 24, 2003  
Inventor Bermudez et al.  
For COMPOSITIONS AND METHODS FOR TUMOR-TARGETED  
DELIVERY OF EFFECTOR MOLECULES

Affidavit/Declaration  Fee Address Indication Form  
 Amendment  Response  Fee Calculation  
 Application \_\_\_\_\_ pages  Issue Fee Transmittal  
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 Assignment  Cover Sheet  Oral Hearing Request/Confirm  
 Brief (in triplicate)  Petition to Extend Time \_\_\_\_\_ month(s)  
 Declaration & Power of Attorney  Petition under 37 C.F.R. \_\_\_\_\_  
 Executed  Unexecuted  Copy  Power of Attorney  
 Declaration of Inventor(s)  by Assignee  Associate  with Revocation  
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